



HONK FALLS DAM

ULSTER COUNTY, NEW YORK INVENTORY NO. N.Y. 73

PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM



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NEW YORK DISTRICT CORPS OF ENGINEERS

AUGUST 1981

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SECURITY CLASSIFICATION OF THIS PAGE (When Date Entered) READ INSTRUCTIONS REPORT DOCUMENTATION PAGE BEFORE COMPLETING FORM 2. GOVT ACCESSION NO.] I RECIPIENT'S CATALOG HUMBER 1. REPORT HUMBER Phase I Inspection Report TYPE OF REPORT & PERIOD COVERED Phase I Inspection Report Honk Falls Dam National Dam Safety Program Lower Hudson River Basin, Ulster County, 6. PERFORMING ORG. REPORT NUMBER Inventory No. 73 7. AUTHOR(e) 8. CONTRACT OR GRANT NUMBER(s) GRANVILLE/KESTER, JR /DACW51-81-C-0010 .:-PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS 9. PERFORMING ORGANIZATION HAME AND ADDRESS Michael Baker, Jr. Inc. 4301 Dutch Ridge Road Box 280 PA 15009 11. CONTROLLING OFFICE NAME AND ADDRESS 12. REPORT DATE 14 August 1981 Department of the Army 13. NUMBER OF PAGES 26 Federal Plaza New York District, CofE New York, New York. 10287
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Dam Safety Honk Falls Dam National Dam Safety Program Ulster County Visual Inspection Lower Hudson River Basin Hydrology, Structural Stability 10. ABSTRACT (Continue on reverse side if necessary and identify by block number) This repart provides information and analysis on the physical condition of the dam as of the report date. Information and analyzin are based on ytamal inspection of the dam by the performing organization. Examination of available documents and a visual inspection of the dam and appurtenant structures did not reveal conditions which constitute an immediate hazard to human life or property.

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SECURITY CLASSIFICATION OF THIS PAGE (The Day of Security

Using the Corps of Engineers' screening criteria, it has been determined that the dam would be overtopped for all storms exceeding approximately 26 percent of the Probable Maximum Flood (PMF). The spillway is, therefore, adjudged as "seriously inadequate" and the dam is assessed as "unsafe, non-emergency."

The classification of "unsafe" means that, based on an initial screening and preliminary computations, there appears to be a serious deficiency in spillway capacity, so that if a severe storm were to occur, overtopping and failure of the dam would take place, significantly increasing the hazard to loss of life downstream.

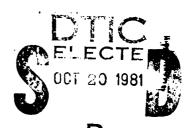
Structural stability analyses based on available information indicate that factors of safety against overturning and sliding are low for all conditions analyzed. When the dam is subjected to severe loading conditions such as ice loading or water levels overtopping the dam, the factors of safety are below recommended levels.

Therefore, a detailed stability analysis is considered necessary to determine actual stability conditions of the dam.

It is therefore recommended that, within three months of notification of the owner, detailed hydrologic and hydraulic investigations of the structure should be undertaken to more accurately determine the site-specific characteristics the watershed and their effects upon the overtopping that of the dam. At the same time, further analyses of tructural stability of the overflow and nonoverflow tions should be performed. The results of these investigations and analyses will determine the appropriate remedial and analyses will be required. In the interim, a detailed the determined action plan must be developed and implemented that periods of unusually heavy precipitation. Also, and the clock surveillance must be provided during these

At the present time, there exists no inspection or the tenance program at the dam. A program of regular inspection and maintenance should be established. The dam should examined for seeps when the reservoir is below the spillway test.

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PREFACE

This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aide in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM HONK FALLS DAM

I.D. No. NY 73

DEC DAM No. 177C-735 LOWER HUDSON RIVER BASIN ULSTER COUNTY, NEW YORK

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PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM

Name of Dam: Honk Falls Dam (I.D. No. NY 73)

State: New York

County: Ulster

Stream: Rondout Creek

Date of Inspection: 8 March 1981

ASSESSMENT

Examination of available documents and a visual inspection of the dam and appurtenant structures did not reveal conditions which constitute an immediate hazard to human life or property.

Using the Corps of Engineers' screening criteria, it has been determined that the dam would be overtopped for all storms exceeding approximately 26 percent of the Probable Maximum Flood (PMF). The spillway is, therefore, adjudged as "seriously inadequate" and the dam is assessed as "unsafe, non-emergency."

The classification of "unsafe" means that, based on an initial screening and preliminary computations, there appears to be a serious deficiency in spillwar capacity, so that if a severe storm were to occur, overtopping and failure of the dam would take place, significantly increasing the hazard to loss of life downstream.

Structural stability analyses based on available information indicate that factors of safety against overturning and sliding are low for all conditions analyzed. When the dam is subjected to severe loading conditions such as ice loading or water levels overtopping the dam, the factors of safety are below recommended levels.

Therefore, a detailed stability analysis is considered necessary to determine actual stability conditions of the dam.

It is therefore recommended that, within three months of notification of the owner, detailed hydrologic and hydraulic investigations of the structure should be undertaken to more accurately determine the site-specific characteristics

of the watershed and their effects upon the overtopping potential of the dam. At the same time, further analyses of the structural stability of the overflow and nonoverflow sections should be performed. The results of these investigations and analyses will determine the appropriate remedial measures which will be required. In the interim, a detailed emergency action plan must be developed and implemented during periods of unusually heavy precipitation. Also, around-the-clock surveillance must be provided during these periods.

At the present time, there exists no inspection or maintenance program at the dam. A program of regular inspection and maintenance should be established. The dam should be examined for seeps when the reservoir is below the spillway crest.

The following remedial measures must be completed within one year:

- 1. The gates on the outlet pipes should be repaired, and their controls should be made operable.
- 2. Determine the source of and repair the area of seepage at the soil-concrete contact along the right wall.
- 3. Determine the source of and repair the area of seepage at the left wingwall.
- 4. Determine the cause of and repair the seepage below the auxiliary spillways.
- 5. Repair the eroded areas at the toe of the dam and at the construction joints.
- 6. Repair all areas on the dam where the concrete is spalled and deteriorated.
- 7. Remove all brush and trees growing near the right abutment and on the dam.
- 8. Remove the trees and debris from the channel below the auxiliary spillways.
- 9. A program of periodic inspections and maintenance of the dam should be established. All inspections and maintenance should be recorded for future reference.
- 10. A staff gage should be installed to monitor reservoir levels above normal pool.

SUBMITTED: Michael Rester Jr., P.E.
Vice President
MICHAEL BAKER JR. of New York, INC.

APPROVED:

Colonel W.M. Smith, Jr. New York District Engineer

DATE: 14 aug 81



Overall View of Dam Honk Falls Dam 1.D. No. NY 73 8 March 1981

PHASE I INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM
HONK FALLS DAM
I.D. No. NY 73
DEC DAM No. 177C-735
LOWER HUDSON RIVER BASIN
ULSTER COUNTY, NEW YORK

SECTION 1: PROJECT INFORMATION

1.1 GENERAL

- a. Authority The Phase I inspection reported herein was authorized by the Department of the Army, New York District, Corps of Engineers, to fulfill the requirements of the National Dam Inspection Act, Public Law 92-367.
- b. Purpose of Inspection This inspection was conducted to evaluate the existing conditions of the dam, to identify deficiencies and hazardous conditions, to determine if these deficiencies constitute hazards to life and property, and to recommend remedial measures where required.

1.2 DESCRIPTION OF PROJECT

- Description of Dam Honk Falls Dam is a concrete gravity structure 42.2 feet high, measured from the crest to the toe of the dam, and 294 feet long. The overflow section (principal spillway) is located in the center of the dam and consists of a 190.5-foot long broad-crested weir with a vertical upstream face and a sloping downstream The auxiliary spillway, located to the right of the principal spillway, has a total length of 22.3 feet and consists of a broad-crested concrete weir with vertical upstream and downstream faces. The non-overflow sections are 20 feet long on the left side and 61.2 feet long on the right side of the dam. Beneath the auxiliary spillways are two 6-foot diameter outlet pipes. A 3-foot diameter outlet pipe and a 12-inch outlet pipe are below the right and left sides of the spillway, respectively.
- b. Location Honk Falls Dam is located on Rondout Creek, a tributary of the Hudson River, 3 miles north of Ellenville, New York. The reservoir and dam are in Ulster County, New York. The coordinates

of the dam are N 41° 45' and W 74° 22.9'. The dam can be found on the Rondout Reservoir and Ellenville, New York, USGS 7.5 minute topographic quadrangles. A Location Map is shown in Appendix E.

- c. Size Classification Honk Falls Dam is 42.2 feet high, and the reservoir storage capacity at the minimum top of dam (elevation 579.1 feet T.B.M.) is 1504 acre-feet. Therefore, the dam is in the "intermediate" size category as defined by the Recommended Guidelines for Safety Inspection of Dams (Reference 13, Appendix D).
- d. Hazard Classification One home is 1700 feet downstream from the dam. Napanoch, New York, is 6000 feet downstream from the dam. Loss of life in the home and Napanoch is likely if the dam were to fail. Honk Falls Dam is therefore considered in the "high" hazard category as defined by the Recommended Guidelines for Safety Inspection of Dams.
- e. Ownership The dam and reservoir are owned by Recycled Paper Corp., c/o Albert I. Lonstein, Route 209, Terrace Hill, Ellenville, New York 12428.
- f. Purpose of the Dam Honk Lake is used for recreation.
- g. Design and Construction The dam was built about 1898. The designer and contractor are unknown.
- h. Normal Operating Procedures The reservoir is typically maintained at the spillway crest. There has been no maintenance or inspection of the dam for the past few years.

1.3 PERTINENT DATA

a.	Drainage A	Area (square miles) -	104.09
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b. Discharge at Dam (c.f.s.)

Spillway Capacity (at Minimum Top of Dam Elev. 579.1 ft. M.S.L.) 20,119.0

c. Elevation (Feet Above M.S.L.)1 -

Minimum Top of Dam	579.1
Normal Pool (Spillway Crest)	569.0
Streambed at Toe of Dam	536.9

All elevations are referenced to the spillway crest, elev. 569.0 ft. M.S.L., estimated from the USGS 7.5 minute topographic quadrangle, Rondout Reservoir, NY.

d. Reservoir Surface (Acres) -

Top of Dam	(Elev. 57	9.1 ft.	M.S.L.)	86.2
Spillway Cr	rest (Elev	. 569.0	ft. M.S.L.)	44.1

e. Reservoir Storage Capacity (Acre-Feet) -

Top of Dam	(Elev. 57	9.1 ft. M	1.S.L.)	1504.0
Spillway Cr	est (Elev	. 569.0 f	t. M.S.L.)	860.0

f. Dam -

Type: Concrete gravity	
Length (Feet)	294.0
Height (Feet)	42.2
Top Width (Feet)	2.5
Side Slopes - Upstream	Vertical
Downstream	1V:1.3H

g. Principal Spillway -

Type: Concrete broad-	crested weir	
Crest Length Perpendicu	ular to Flow (Feet)	190.5
Crest Width Parallel to	o Flow (Feet)	3.6
Crest Elevation (Feet M	M.S.L.)	569.0

h. Auxiliary Spillway -

Type: Concrete broad-crested weir	
Total Crest Length Perpendicular to	
Flow (Feet)	22.3
Crest Width Parallel to Flow (Feet)	0.8
Crest Elevation (Feet M.S.L.)	576.6

i. Reservoir Drain -

Type: Two 6-foot diameter pipes, 15.4 feet below the spillway crest, with inoperative wooden gates. One 3-foot diameter pipe, 28.1 feet below the spillway crest, that appears to be plugged. One 12-inch cast iron pipe, 30.1 feet below the spillway crest, with a gate valve.

SECTION 2: ENGINEERING DATA

2.1 GEOLOGY

Honk Falls Dam is located along the western margin of the Rondout-Escpus Valley region, Valley and Ridge physiographic province - Hudson Lowland area. Bedrock in this region consists of faulted and folded Devonian and Silurian limestones, shales, and sandstones. Typical topographic relief in the region is 500 feet.

The Geologic Map of New York (Reference 2, Appendix D) indicates that bedrock units in the immediate vicinity of the dam are shales and siltstones of the undifferentiated Hamilton Group, Middle Devonian System. Outcrops of these units occur at the dam site.

The bedrock surface of Ulster County has been modified by the action of continental glaciation; therefore, most of the county is blanketed by ground moraine deposits.

There do not appear to be any geologic faults in the dam or reservoir area.

2.2 SUBSURFACE INVESTIGATION

No site-specific subsurface information was available for review by this investigation. However, as shown on the Field Sketch in Appendix E, extensive outcrops of steeply dipping bedrock are located immediately downstream from the dam. It can be assumed with a high degree of confidence therefore, that the dam is constructed primarily on bedrock.

The Soil Survey of Ulster County, New York (Reference 3, Appendix E) classifies the soil in the valley below the dam in the Arnot-Oquaga-Rock outcrop complex. These bouldery soils occur on steep slopes and are moderately to excessively well-drained loams with thickness up to 26 inches. They are said to be moderately permeable and with very rapid runoff characteristics. Free water, except for brief periods in spring and after heavy rain, is generally below the bedrock surface.

2.3 DAM AND APPURTENANT STRUCTURES

Honk Falls Dam was originally built around 1898 to provide power for a paper mill. Presently, the dam is

used for recreation purposes only. The dam is a concrete gravity dam approximately 42 feet high and 294 feet long. The dam has a 190.5-foot long main spillway which begins 20 feet from the left¹ abutment. It also has two auxiliary spillways on the right side of the dam. Beneath the auxiliary spillways are two 6-foot diameter outlet pipes. There is a 12-inch outlet pipe under the left side of the main spillway and a 3-foot diameter pipe under the right side. It appears that the dam is founded on bedrock, at least under the main spillway section. The auxiliary spillway and the right abutment for the dam may be founded on bedrock or soil.

The existing dam is illustrated by a field sketch which is included in Appendix E.

2.4 CONSTRUCTION RECORDS

No construction records were found during this investigation.

2.5 OPERATION RECORDS

No operation records were found during this investigation.

2.6 EVAULATION OF DATA

Engineering data was obtained entirely from files of the New York State Department of Environmental Conservation. The available data, while very limited, is considered adequate and reliable for Phase I Inspection purposes, with the exception that foundation conditions and details of the upstream face of the dam are not well-known.

Looking downstream.

SECTION 3: VISUAL INSPECTION

3.1 FINDINGS

- a. General The inspection was performed on 8 March 1981. The weather was cloudy with temperatures in the low 30's. The reservoir surface was at the spillway crest.
- b. Spillway The principal spillway begins 20 feet from the left abutment. The spillway is a concrete broad-crested weir with a freeboard of 10.1 feet. The concrete on the spillway is spalled and generally deteriorated, and the construction joints are badly eroded.
- c. Auxiliary Spillway On the right side of the dam are two stepped-weir auxiliary spillways with a crest length of 10.1 feet and 7.2 feet. Both are broad-crested weirs with a freeboard of 2.5 feet. Trees and brush are growing in the discharge channel below the auxiliary spillway.
- d. Dam - The dam is a concrete gravity structure 294 feet long with a height of 42.2 feet and a crest width of 2.5 feet. The concrete is spalled and deteriorated over the entire surface. The construction joints in the principal spillway are badly eroded with the copper seal visible in several areas. There are cracks in the downstream face of the auxiliary spillway and minor seeps are present (Photo 5, Appendix A). The wing wall along the right abutment downstream from the dam has seepage (5 to 10 gpm) at the soil-concrete contact along most of its length (Photo 6, Appendix A). There are three depressions, one at the upstream face of the dam and two behind the right wing wall that are possibly associated with the seepage under the right wing wall. The left wingwall has some seepage at its junction with the main portion of the dam. Some brush is growing in the joint between the upper and lower sections of the dam.

The rock-concrete interface at the toe of the dam is eroded in several areas (Photo 4, Appendix A). The rock is hard and appears tight, however, due to water over the spillway, it was not possible to see if any seepage was flowing beneath the dam.

- Outlet Works Two 6-foot diameter outlet pipes e. are below the auxiliary spillway (Photo 7, Appendix A). Wooden gates on the upstream ends of the pipes were used to control the flow. Both gates are leaking and have water flowing over their downstream face. The left gate has four valves mounted on its downstream side (Photo 8, Appendix A) and its lift arm is missing. A 3-foot diameter outlet pipe below the right side of the spillway appears to have been plugged. A 12-inch outlet pipe below the left side of the spillway has a . gate valve on the downstream end that is leaking around the valve stem. None of the outlet works are operable.
- f. Downstream Channel The spillway discharges directly into the main channel; it is a deep rock channel with large boulders. The channel is extremely narrow from the dam to a point 1500 feet downstream where there is a sharp drop in the streambed forming a waterfall.

A home and a highway bridge are located 1500 feet downstream from the dam; several additional homes are located 1 mile downstream in Napanoch, New York.

g. Reservoir - The slopes of the reservoir are moderate to steep with woods and good cover. There were no signs of instability, and sedimentation did not appear to be a problem.

3.2 EVALUATION

Visual inspection revealed several deficiencies in the structure. The following items were noted:

- 1. The right wing wall has seepage at the soil-concrete contact along most of its length;
- 2. The wooden gates on the 6-foot diameter outlet pipes have water running down their face.
- 3. The mechanical equipment for the lift gates is inoperative.
- 4. The lift arm for the left gate on the 6-foot diameter outlet pipe is missing.
- 5. The left wingwall has seepage at the junction with the main structure of the dam.

- 6. There is seepage through the dam on the downstream face below the auxiliary spillways:
- 7. The junction of the concrete and rock at the toe of the dam is eroded.
- 8. The construction joints in the dam are badly eroded,
- 9. The entire concrete surface of the dam is spalled and deteriorated.
- 10. The valve on the 12-inch outlet pipe is leaking around the valve stem.
- 11. Brush is growing in the joint between the upper and lower sections of the dam.
- 12. Trees and brush are growing in the discharge channel below the auxiliary spillway.

13. Brush and trees are growing at the toe of the dam on the right abutment.

SECTION 4: OPERATION AND MAINTENANCE PROCEDURES

4.1 PROCEDURES

There are no formal operating procedures. The operation of the dam is an automatic function controlled by the crest of the spillway.

4.2 MAINTENACE OF THE DAM

Maintenance of the dam is considered to be poor. The dam is not visually inspected and no maintenance has been performed for many years.

4.3 WARNING SYSTEM

There is no formal warning system or emergency action plan in effect.

4.4 EVALUATION

Maintenance and operating procedures for Honk Falls Dam are considered to be inadequate.

It is recommended that a maintenance program be implemented, and that formal records of examinations and necessary maintenance be recorded for future reference. A warning system and emergency action plan should be developed and put into operation. A staff gage should be installed to monitor reservoir levels above normal pool.

SECTION 5: HYDRAULIC/HYDROLOGIC

5.1 DRAINAGE AREA CHARACTERISTICS

The drainage area for Honk Falls Dam is 104.09 square miles. Included in this drainage area is Merriman Dam (Fed. I.D. No. NY 74) which has a drainage area of 95 sq. mi. The delineation of that portion of the watershed below Merriman Dam was made using the USGS quadrangle for Rondout Reservoir, New York. The drainage basin between Merriman Dam and Honk Falls Dam consists of moderate to steep slopes which are well-covered with forests and ground vegetation. Some upland storage exists in the form of flat and swampy areas.

5.2 ANALYSIS CRITERIA

The hydraulic capacity of the dam, reservoir, and spillway was assessed by utilizing the U.S. Army Corps of Engineers' Flood Hydrograph Package, HED-1 DB (Reference 11, Appendix D). The hydrologic characteristics of the watershed below Merriman Dam, specifically the Snyder's unit hydrograph parameters, were average values obtained from Hydrologic Flood Routing Model for Lower Hudson River Basin (Reference 14, Appendix D). Rainfall losses were estimated at an initial loss of 1.0 inch and a constant loss of 0.1 inch per hour thereafter.

The outflow hydrograph for Merriman Dam was developed using material presented in the Phase I Inspection Report prepared for Merriman Dam by Justin and Courtney, Inc. (see Appendix F). The outflow hydrograph for Merriman Dam was routed to Honk Lake. The hydrograph for the drainage area between Merriman Dam and Honk Falls Dam was developed and combined with the hydrograph from Merriman Dam. The resulting hydrograph was then routed through Honk Falls Dam. The Probable Maximum Flood (PMF) and 1/2 Probable Maximum Flood (1/2 PMF) were developed and routed through the reservoir.

5.3 SPILLWAY CAPACITY

With the reservoir level at the minimum top of dam, the combined capacity of the service and auxiliary spillways were determined to be 20,122 c.f.s.

5.4 RESERVOIR CAPACITY

The storage capacity of Honk Falls Dam at normal pool is 860 acre-feet. The storage capacity of the reservoir

at the minimum top of dam is 1504 acre-feet. Therefore, flood control storage of the reservoir between the spillway crest and minimum top of dam is 644 acrefeet. This volume represents a total of 0.12 inch of runoff from the watershed.

5.5 FLOOD OF RECORD

No information concerning the effects of significant floods on the dam is available.

5.6 OVERTOPPING POTENTIAL

The maximum combined capacity of the spillways is 20,122 c.f.s. to the minimum top of dam. The peak outflows of the PMF and 1/2-PMF are 92,425 c.f.s. and 42,711 c.f.s., respectively. Therefore, the spillways are capable of passing 26 percent of the PMF before overtopping would occur.

Analyses of the dam and spillway show that the dam will be overtopped during the 1/2-PMF by a maximum depth of 5.11 feet for a total duration of 12.0 hours. The PMF results in overtopping by a maximum depth of 10.61 feet for a total duration of 18.0 hours.

5.7 RESERVOIR EMPYTING POTENTIAL

The reservoir has no operable outlet pipes to drawdown the reservoir.

5.8 EVALUATION

It was determined that the spillway is capable of passing 26 percent of the PMF before overtopping the dam. Structural stability analyses based on available information indicate that factors of safety against overturning and sliding are less than the recommended guidelines for all conditions overtopping the dam. The spillway is, therefore, judged to be "seriously inadequate".

Conclusions pertain to present conditions and the effect of future development on the hydrology has not been considered.

SECTION 6: STRUCTURE STABILITY

6.1 EVALUATION OF STRUCTURAL STABILITY

- a. <u>Visual Observations</u> A number of significant deficiencies related to the stability of the structure were noted during the visual inspection. These include:
 - 1. The face of the main spillway is spalled and badly deteriorated. The copper seals in the construction joints are visible on the spillway crest, and the joints are eroded on the downstream face of the spillway.
 - 2. The downstream toe is eroded with exposed rebar on both ends of the main spillway. It is unknown if water is flowing along the rock concrete contact under the dam because water was flowing over the spillway at the time of inspection.
 - 3. While there are erosion channels and depressions in the exposed bedrock downstream of the dam, the bedrock appears tight.
 - 4. The downstream face of the auxiliary spillways is cracked, and minor seepage is occurring.
 - 5. The right wingwall next to the auxiliary spillway is cracked and has seepage at the soil-wall contact point. There are three depressions behind this wingwall which appear to be a result of this seepage.
 - 6. Water is leaking around the wooden gates for the two 6-foot diameter pipes below the auxiliary spillway.
- b. <u>Design and Construction Data</u> No design information was available regarding the stability of the structure.
- c. Operating Records Operating records are not available.
- d. <u>Post Construction Changes</u> There were no known changes made in the dam, except that it is no longer used to provide power.

6.2 STRUCTURAL STABILITY ANALYSIS

The results of a previous stability analysis, if any, were not available for reference during this evaluation. A structural stability analysis has been conducted for the maximum section of the main spillway. The cases analyzed and respective results are as follows:

Case	Description of Loading Conditions
1	Normal operating conditions with reservoir at the spillway crest, full uplift and no tailwater.
2	Same as Case 1 with the addition of ice loading of 5000 pounds per lineal foot.
3	Reservoir level during 1/2-PMF (elev. 584.2 M.S.L.), with full uplift, and a tailwater of 9.1 feet.
4	Reservoir level during the PMF (elev. 589.7 M.S.L.), with full uplift, and a tailwater of 12.1 feet.

	Factor of	Safety	Location of Resultant
Case	Overturning	Sliding	From Toe (ft.)
1	1.54	2.69	5.89
2	1.24	2.33	3.25
3	0.85	1.40	-3.79

0.97

Notes: Location of middle 1/3 is 7.3 to 14.7 feet from downstream toe.

0.64

A negative sign above indicates that the resultant falls downstream of the toe.

-14.86

In all cases analyzed, the factors of safety against overturning are low and the locations of the resultants fall outside of the middle 1/3. Therefore, the dam is not considered safe against overturning. In addition, the factor of safety against sliding was low for all cases. However, the structure has withstood normal loading conditions in the past without apparent structural damage and the analyses may not indicate the true field conditions or proper loading condition. Therefore, it is recommended that an in-depth engineering study of the structure be conducted to determine actual stability conditions prior to initiating any remedial measures.

6.3 SEISMIC STABILITY

The dam is lcoated in Seismic Zone 1 which presents no hazard from earthquakes, according to the <u>Recommended Guidelines for Safety Inspection of Dams</u>. This determination is contingent on the requirement that static stability conditions are satisfactory and conventional safety margins exist.

SECTION 7: ASSESSMENT/RECOMMENDATIONS

7.1 ASSESSMENT

a. Safety - The Phase I Inspection of Honk Falls Dam revealed that the spillway is "seriously inadequate," based on the Corps of Engineers screening criteria: outflows from any storm in excess of 26 percent of the PMF will overtop the dam. For this reason, the dam has been assessed as unsafe, non-emergency.

The classification of "unsafe" means that, based on an initial screening and preliminary computations, there appears to be a serious deficiency in spillway capacity, so that is a severe storm were to occur, overtopping and failure of the dam would take place, significantly increasing the hazard to loss of life downstream.

Structural stability analyses based on available information indicate that factors of safety against overturning and sliding are low for all conditions analyzed. When the dam is subjected to severe loading conditions such as ice loading or water levels overtopping the dam, the factors of safety are below recommended levels.

- b. Adequacy of Information The information available and the observations and measurements made during the visual inspection are considered sufficient for this Phase I Inspection Report.
- C. Need for Additional Investigation Detailed hydrologic and hydraulic investigations of the structure are considered necessary to more accurately determine the overtopping potential of the dam and to determine appropriate mitigating measures in response to the spillway inadequacy. The reservoir should be drawn down to allow for a visual inspection of the upstream face of the dam and to check for seepage under the dam without water flowing over the spillway. Investigate the areas of seepage and determine their effect on the structural stability of the dam. A detailed stability analysis of the dam is considered necessary to determine actual stability conditions.
- d. <u>Urgency</u> The detailed hydrologic and hydraulic investigations and stability analysis must be initiated within three months of notification to the owner. Within one year, remedial measures

resulting from these investigations must be initiated, with completion of these measures during the following year. In the interim, a detailed emergency action plan must be developed and implemented during periods of unusually heavy precipitation. Also, around-the-clock surveillance must be provided during these periods.

7.2 RECOMMENDED MEASURES

The following remedial measures must be completed within one year:

- 1. The gates on the outlet pipes should be repaired, and their controls should be made operable.
- 2. Determine the source of and repair the area of seepage at the soil-concrete contact along the right wing wall.
- 3. Determine the source of and repair the area of seepage at the left wingwall.
- 4. Determine the cause of and repair the seepage below the auxiliary spillways.
- 5. Repair the eroded concrete at the toe of the dam and at the construction joints.
- 6. Repair all areas on the dam where the concrete is spalled and deteriorated.
- 7. Remove all brush and trees growing near the right abutment and on the dam.
- 8. Remove the trees and debris from the channel below the auxiliary spillways.
- 9. A program of periodic inspections and maintenance of the dam should be established. All inspections and maintenance should be recorded for future reference.
- 10. A staff gage should be installed to monitor reservoir levels above normal pool.

APPENDIX A

PHOTOGRAPHS

CONTENTS

Photo 1: Upstream Side of Dam

Photo 2: Downstream Face of Dam

Photo 3: Gate Works

Photo 4: Erosion at Toe on Left Abutment Showing Exposed Reinforcing Bars

Photo 5: Auxiliary Spiliway and Wingwalls From Downstream

Photo 6: Seepage Along Right Wall

Photo 7: 6-Foot Diameter Outlet Pipes

Photo 8: Wooden Gate for Outlet Pipes

Note: Photographs were taken on 8 March 1981.



Photo 1. Upstream Side of Dam 8 March 1981

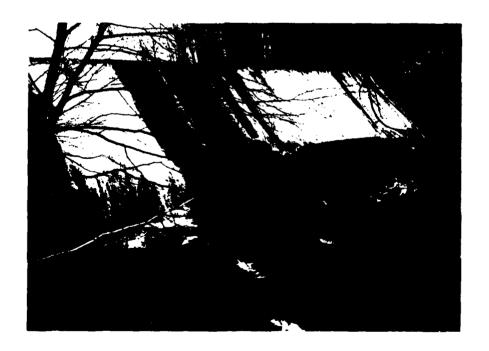


Photo 2. Downstream Face of Dam 8 March 1981



Photo 3. Gate Works 8 March 1981



Photo 4. Erosion at Toe on Left Abutment Showing Exposed Reinforcing Bars 8 March 1981

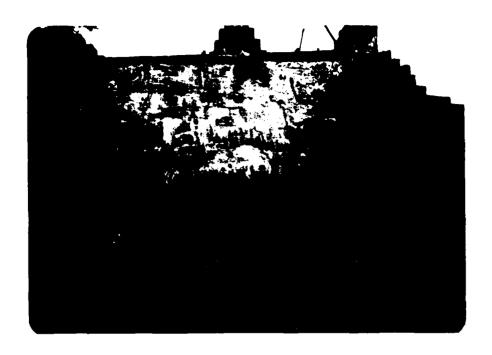


Photo 5. Auxiliary Spillway and Wingwalls from Downstream Side 8 March 1981



Photo 6. Seepage Along Right Wall 8 March 1981

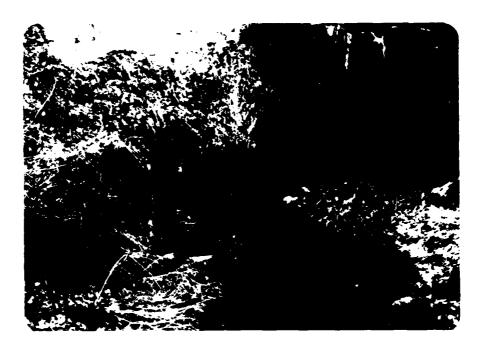


Photo 7. 6-Foot Diameter Outlet Pipes 8 March 1981



Photo 8. Wooden Gate for Outlet Pipe 8 March 1981

APPENDIX B

VISUAL INSPECTION CHECKLIST

VISUAL INSPECTION CHECKLIST

1) Basic Data

a.	General
	Name of Dam Honk Falls Dam
	Fed. I.D. # NY 73 DEC Dam No. 177C-735
	River Basin Lower Hudson River
	Location: Town Napanoch County Ulster
	Stream Name Rondout Creek
	Tributary ofHudson River
	Latitude (N) 41° 45.0' Longitude (W) 74° 22.9'
	Type of Dam Concrete Gravity Dam
	Hazard Category High
	Date(s) of Inspection 8 March 1981
	Weather Conditions Cloudy, Low 30's
	Reservoir Level at Time of Inspection569.0 ft.
ъ.	Inspection Personnel Terry S. Hawk, Gary W. Todd, Larry A. Diday
c.	Persons Contacted (Including Address & Phone No.) 914-647-8500
	Albert I. Lonstein
	Route 209
	Terrace Hill
	Ellenville, NY 12428
d.	History:
	Date Constructed About 1897-8 Date(s) Reconstructed
	· · · · · · · · · · · · · · · · · · ·
	Designer Unknown
	Constructed By Unknown
	Recycled Paper Corporation

a.	Characteristics		
	(1)	Embankment Material	
	(2)	Cutoff Type	
	(3)	Impervious Core	
	(4)	Internal Drainage System	
	(5)	Miscellaneous	
ъ.	Cres	t	
	(1)	Vertical Alignment	
	(2)	Horizontal Alignment	
	(3)	Surface Cracks	
	(4)	Miscellaneous	
c.	Upst	ream Slope	
	(1)	Slope (Estimate) (V:H)	
	(2)	Undesirable Growth or Debris, Animal Burrows	

	(3)	Sloughing, Subsidence, or Depressions
	(4)	Slope Protection
	(5)	Surface Cracks or Movement at Toe
		· · · · · · · · · · · · · · · · · · ·
d.	Down	stream Slope
	(1)	Slope (Estimate - V:H)
	(2)	Undesirable Growth or Debris, Animal Burrows
		·
	(3)	Sloughing, Subsidence or Depressions
	(4)	Surface Cracks or Movement at Toe
	(5)	Seepage
	(6)	External Drainage System (Ditches, Trenches, Blanket)
	(7)	Condition Around Outlet Structure
	(/)	Condition Around Outlet Structure

was to the tell selection to select the tell

		Seepage Beyond Toe
		·
e.	Abut	ments - Embankment Contact
	- , - , -	
	(1)	Erosion at Contact
	(-)	
	(2)	Seepage Along Contact
	(2)	
Drai		System
Drai		
		System
	Desc	System
a.	Desc	System ription of System None observed
a.	Desc	System ription of System None observed ition of System
a.	Desc	System ription of System None observed ition of System
a. b.	Desc	System ription of System None observed ition of System
a. b.	Desc	System ription of System None observed ition of System
a. b.	Desc	System ription of System None observed ition of System harge from Drainage System
a. b.	Desc	System ription of System None observed ition of System
b. c. Inst	Desc	System ription of System None observed ition of System harge from Drainage System tation (Monumentation/Surveys, Observation Wells, Weirs,
b. c. Inst	Desc	System ription of System None observed ition of System harge from Drainage System tation (Monumentation/Surveys, Observation Wells, Weirs,
b. c. Inst	Desc	System ription of System None observed ition of System harge from Drainage System tation (Monumentation/Surveys, Observation Wells, Weirs,

Reser	voir
a.	Slopes Moderate, to steep wooded slopes.
ъ.	Sedimentation None observed
c.	Unusual Conditions Which Affect Dam Merriman Dam is 5 mi. upstream.
Area	Downstream of Dam
a.	Downstream Hazard (No. of Homes, Highways, etc.) 1,500 ft. below the
	dam is a waterfall, a house, and a highway bridge. There are also several
	homes in Napanoch approximately 1 mile downstream.
b.	Seepage, Unusual Growth None observed at the time of inspection.
c.	Evidence of Movement Beyond Toe of Dam None observed at the time of
	inspection.
d.	Condition of Downstream Channel Narrow rock channel with large boulders.
Spill	.way(s) (Including Discharge Conveyance Channel)
_	ill condition is poor.

General The spillway is 184.5 ft. long with 2 auxiliary spillways at the right side of the dam.
Condition of Service Spillway The concrete spillway is spalled and d
orated. The construction joints are badly eroded.
Condition of Auxiliary Spillway The 2 stepped auxiliary spillways are
fair condition with minor spalling on the concrete surfaces.
Condition of Discharge Conveyance ChannelDischarges into main channel
Trees and brush are growing in the discharge channel below the auxilia
spillway.
rvoir Drain/Outlet
Type: Pipe ² Conduit ² Other
Material: Concrete Metal X Other
Size: 2 6-ft. diameter, 1 3-ft. Length 6-ft. diameter - 17 ft. 10
diameter and 1 12-in. diameter unknown
Invert Elevations: Entrance unknown 6 ft 553.6 ft. Exit 3 ft 540.9 ft. 12 in 538.9 ft.
12 in 538-9 ft.
•

	Material: Iron				
	Joints: Good Alignment Good				
	Structural Integrity: 6 ft. pipes are rusted with some sedimentation				
	in pipe.				
	·				
	Hydraulic Capability:				
	Means of Control: GateX Valve Uncontrolled				
	Operation: Operable Inoperable X Other				
	Present Condition (Describe): 6 ft. pipes have wooden gates with water				
	running down face. Left gate has 4 pipes with valves set in gate. Left				
	gate lift arm is missing. 3 ft. pipe is plugged. The 12-inch pipe has a				
	gate valve with the stem leaking.				
					
Str	ructural				
a.					
	struction joints. Some areas are cracked with seeps; rock interfaces are				
	being undercut by erosion.				
ъ.	Structural Cracking The spillway of gate section and wingwalls are cracking				
	•				
c.	Movement - Horizontal & Vertical Alignment (Settlement) No signs of move-				
	ment of main structure were observed.				
d.	Junctions with Abutments or Embankments Rock concrete interfaces have				
	been eroded. Right upstream abutment has some erosion with soil contact,				
	riprap area on right abutment upstream has large depression, also under-				
	cut near wingwall. Downstream riprap is broken up. Two depressions near				
	(Continued next page)				

Water Passages, Conduits, Sluices See drains
Water Passages, Conduits, Sluices See drains
Annual and the second of the s
Annual and the first of the second Prince and the second has
Seepage or Leakage Around wooden gates in 6 ft. pipes. Right wall has
seepage existing from soil-concrete contact along most of length. Seepage
under right wall is estimated at 5 to 10 GPM and appears clear, some pene-
tration less than 2 ft. under wall with possible piping. Spillway face
above 6 ft. discharge pipes is seeping. Left wingwall has some seepage
near joint with main structure.
Joints - Construction, etc Joints badly eroded along spillway face.
copper seal visible in several places. Some brush growing in joint between
upper and lower sections.
Foundation Some water is flowing along rock fissures. Rock concrete inter-
face is eroded away in several areas. Rock is hard and appears tight, how-
ever, due to water over spillway, can not see if any seepage exists between
rock and concrete or within rock itself.
Abutments See above
Control Gates Wood with water flowing down face.

	1.	Approach & Outlet Channels Approach channel unobservable. Outlet channel					
		contains old broken concrete channel. Water has eroded an outlet in rock					
		beyond wingwalls. The channel has trees and brush growing in it.					
	m.	Energy Dissipators (Plunge Pool, etc.) None man-made. Erosion has created					
		some pools.					
		·					
	n.	Intake Structures					
	٥.	Stability Structure does not appear to have moved.					
	p.	Miscellaneous Entire structure appears in poor condition, only real exit					
		for water is over spillway causing dam to have a full lake.					
10)	Appu	Appurtenant Structures (Power House, Lock, Gatehouse, Other)					
	a.	Description and Condition					
		Gate works are rusted and in poor condition.					
		Lift arm on left gate is missing.					

APPENDIX C

HYDROLOGIC/HYDRAULIC

ENGINEERING DATA AND COMPUTATIONS

MICHAEL BAKER, JR., INC.	Subject HONK FALLS DAM	S.O. No
THE BAKER ENGINEERS	APPENDIX C	Sheet No of
Box 280	HYDROLOGIC / HYDRAULIC CALC.	Drawing No
Beaver, Pa. 15009	Computed by GWT Checked by	Date

SUBJECT	PAGE
CHECK LIST FOR DAMS	/
HYDRAULIC AND HYDROLOGIC DATA	5
TOP OF DAM PROFILE	8
TYPICAL CROSS SECTION	9
SPILLWAY RATING	10
AUXILIARY SPILLWAY RATING	12
COMBINED SPILLWAY RATING	14
SPILLWAY CAPACITY ANALYSIS	15
HEC-I COMPUTER ANALYSIS	17

CHECK LIST FOR DAMS HYDROLOGIC AND HYDRAULIC ENGINEERING DATA

AREA-CAPACITY DATA:

		Elevation (ft.)	Surface Area (acres)	Storage Capacity (acre-ft.)
1)	Top of Dam	579.1	86.2	1,504
2)	Design High Water (Max. Design Pool)			
3)	Auxiliary Spillway Crest	576.6	75.8	1,345
4)	Pool Level with Flashboards			
5)	Service Spillway Crest	569.0	44.1	860
	DISCHARGES			
				Volume (cfs)
1)	Average Daily			25
2)	Spillway @ Maximum High Water - Top of Dam -			19,876
3)	Spillway @ Design High	Water	-	
4)	Spillway @ Auxiliary S	pillway Crest B	Elevation	12,895
5)	Low Level Outlet			
6)	Total (of all facilities) @ Maximum High Water 20,122			20,122
7)	Maximum Known Flood		_	Unknown
8)	At Time of Inspection			25

CREST:		ELEVATION: 579.1 ft.
Type: Concrete		
Width: 2.5 ft.	Length:	294 ft.
Spillover Broad-cr	ested weir	
Location Center o	f dam	
SPILLWAY:		
SERVICE		AUXILIARY
569.0 ft.	Elevation	576.6 ft.
Broad-crested wei	•	
3.6 ft.	Width	
	Type of Control	
хх	Uncontrolled	X
	Controlled:	
	Type	
	(Flashboards; gate)	
	Number	
	Size/Length	
	Invert Material	
	Anticipated Length of Operating Service	
	Chute Length	·····
20 ft.	Height Between Spillway Cre & Approach Channel Invert (Weir Flow)	27.6 ft.

HYDROMETEROLOGICAL GAGES:				
Type: None				
Location:				
Records:				
Date:				
Max. Reading:				
FLOOD WATER CONTROL SYSTEM: Warning System: None				
Method of Controlled Releases (mechanisms):				
None				

DRAINAGE AREA: 104.09 sq. mi.
DRAINAGE BASIN RUNOFF CHARACTERISTICS:
Land Use - Type: Wooded
Terrain - Relief: Varies from flat to steep
Surface - Soil: Well-drained
Runoff Potential (existing or planned extensive alterations to existing surface or subsurface conditions)
There were no known plans for altering the existing runoff patterns
at the time of inspection.
Potential Sedimentation problem areas (natural or man-made; present or future) None observed. All slopes well-vegetated.
Potential Backwater problem areas for levels at maximum storage capacity including surcharge storage:
None observed at the time of inspection.
Dikes - Floodwalls (overflow & non-overflow) - Low reaches along the Reservoir perimeter:
Location: None
Elevation:
Reservoir:
Length @ Maximum Pool2,500 ft.
Length of Shoreline (@ Spillway Crest)7,200 ft.

Subject MEN YORK DEMS S.O. No. MICHAEL BAKER, JR., INC. HOUR FACES DAM Sheet No. 5 of 25 THE BAKER ENGINEERS PANIFUL DATA Drowing No. _ Box 280 Computed by WCS Checked by GWT Date = 1= 0/8/ Beaver, Pa. 15009 RAMFALL DATA Fran HMR-33 -Dam AND ERAMAGE PRED ARE IN ZONE ! PHIP (24 HR) 200 mm2 = 21.3 IN. DRAWAGE AREA = 9.083 S.C. Wi. PINO (6-112) = 11/70 PMP (24 22) 200 mi2 " (12-42) = 123% " " " (21-42) = 13370 " " (48-41) = 1427, " " Fran TP-40 100-12 79 40 CANAFASC: 7.8 10. " " 12 112 " = 6.5 10. " = 5.3 (1) " " 6 42

Drainage Area above Roundout Secrivair = 95 sq. Mi Tatal D.A. above Honk Falls = 104.09 sq. Mi

MICHAEL	BAKER,	JR., INC.
1		

THE BAKER ENGINEERS

Subject 1 Fit form Crimit S.O. No. HONE FAME Sheet No. 6 of 25

Box 280 Beaver, Pa. 15009

Computed by 1115 Checked by GWT Date 7

HYDROLOGIE AND HYDREYLIC DATH

DARINAGE AREA AREVE HONK FALLS DAM NOT CONTROLLED BY KONDOUT RESERVOIR DAM = 63,31 SQ. IN. (MENSURED ON PONDOUT RESER-VOIR, N.Y. GUND = 9.088 SQ. mil.

C,=0.63 $=2.0(9.2\times3.26)^{-3}$ $C_{T}=2.0$

L = 48,600 FT = 9.20 mi Tp = 5.55

STORAGE COMPUTATIONS

SURFACE AREA VS. ELEVATION MEASSINEMENTS TAXEN FROM QUAD

ELEV.	MAREA (ACRES)
569	41.1
580	90.0
600	172.0

-> NORMAL POOL AS =MENN ON COAD

DEPTH = ZO FT.

AT ELEV. 549 FT. ALEA = 41.9 Ac. ASSUMING 1:1 S/S

Cp = 0,63 , CT = 2.0

Tp - G (LxLcs).3 = 2.0 (9.2 × 3.26) ,3

Tr . 5.55

ADJUSTMENT TO TO FOR INTERVAL

TE: TI/5.5: 5.55/5.5 : 1.01 HR INTERVAL USE I HR.

Tpe = Tp + Te-Ta

- 5.55 + 1.01-1

TOR = 5.55

MICHAEL	BAKER,	JR.,	INC.
1			

THE BAKER ENGINEERS

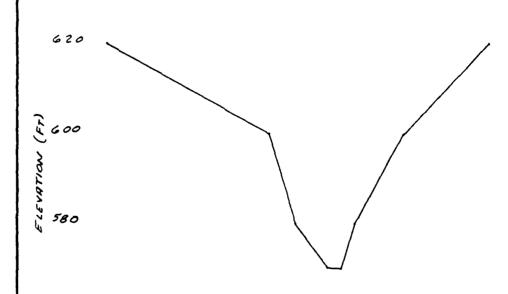
Box 280 Beaver, Pa. 15009

Subject COMPARISON OF DATA	\$.0. No
FROM MERRIMAN DAM	Sheer No of
(Roundout Pasarvair)	Drawing No
Computed by GwT Checked by WDC	

	MICHAEL BAKER, JR., INC.	JUSTIN AND COURTNEY, INC. PHASE I INSPECTION REPORT, 1978
DRAINAGE AREA	95 Sq. Mi.	95 Sq. Mi.
t, / C,	5.16 / 0.63	5.16/0.63
RAINFALL	24 HR. PMP	12 HR. PMP
PEAK OUTFLOW	84,597 C.F.S.	77,104 CFS

Ere hydrograph coefficients from the Merriman Fam Place I Propertion Report were used to generate and match the patiflow hydrograph from Merriman Fam for the 12 lows PMP. The 24 lows PMP values were then substituted to generate the PMF flows from Merriman Fam.

TYPICAL AOUTING CROSS SECTION (CHAMNEL FROM MERRIMAN DAM TO HONK FALLS)



560

200 400 600 800

EFFT

THE BAKER ENGINEERS	TOP OF DI	AM PROFILE		No of
				ing No.
Box 280 Beaver, Pa. 15009	Computed by	W7Checked by		
DOWNSTREAM)	BUXILIARY SPILLWAY ELEV 576.6 FT.	6 Fr. DIA, STEEL PIPES - ELEV. 553. 6 FF.		0040
LOOKING DOWNS 4 FEET		9 &		00+1
OP OF DAM PROFILE (LO LENGTH OF DAM - 294	,	ELEV 569.0 Fr.		2+00 HORIZONTRL STATION
	580 (MINIMUM TOF		560	3 +00

MICHAEL BAKER,	JR., INC.
THE BAKER ENCI	NEERS

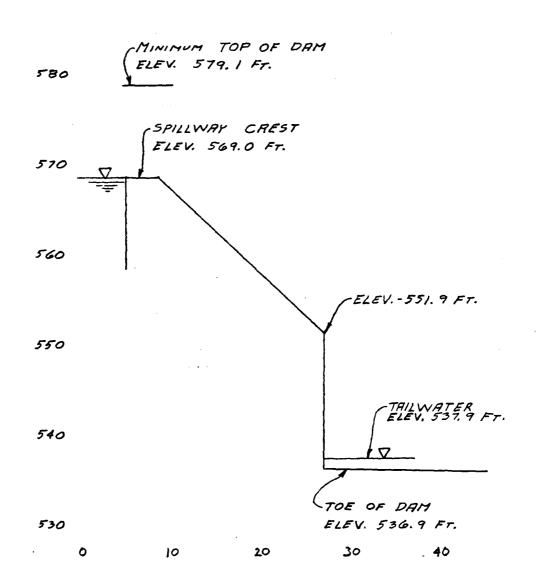
Box 280 Beaver, Pa. 15009 Subject HONK FALLS DRM S.O. No.

TYPICAL CROSS SECTION Sheet No. 9 of 25

Drawing No.

Computed by GWT Checked by AD Date 3-11-81

TYPICAL CROSS SECTION AT STATION 2+14



MICHAEL BAKER, JR., INC.

THE BAKER ENGINEERS

Box 280 Beaver, Pa. 15009 Subject HONK FALLS DAM S.O. No.

SPILLWAY RATING Sheet No. 10 of 25

_____ Drawing No. _____

Computed by GWT Checked by LAD Date 3/11/81

WEIR FLOW / Q=CLH 3/2

BREADTH OF CREST : 3.6 FT. L= 184.5 FT H VARIES FROM O FT. TO ZIFT. C VARIES WITH H, Ps. 5-40

TABLE 5-3 BRATER + KING

ELEVATION, (FT)	(FT)	(Fr)	6/	(CP3)
569.0	0	184.5	0	0
570.0	1.0	184.5	2.67	492.61
571.0	2.0	184.5	2.68	1398.51
572.0	3. O	1845	2,73	2617.21
573.0	4.0	184.5	2.79	4118.01
574.0	5.0	184.5	3.07	6332.7
575.0	6.0	184.5	3.32	9002.5-
576.0	7.0	184.5	3.32	11,344.4 -
576.6	7.6	184.5	3.32	12,833.8
577.6	8.6	184.5	3.32	15,448.4
578.6	9.6	184.5	3.32	18,219.7
579.6	10.6	184,5	3.32	21,139.41
580.0	11.0	184.5	3.32	22, 347, 2
582.0	13.0	184.5	3.32	28,711.11
584.0	15.0	184.5	3.32	35,585.3 /
586.0	17.0	184.5	3,32	42,934.6
588.0	17.0	184.5	3.32	50,729.9
590.0	21.0	184.5	3.32	58,947.2

THE BAKER ENGINEERS

Box 280 Beaver, Pa. 15009

MICHAEL BAKER, JR., INC. Subject HONK FALLS DAM S.O. No. _____

SPILLWAY RATING Sheet No. 11 of 25

(CONTINUED) Drawing No.

Computed by GWT Checked by LAD Date 3-19-81

Q= CLH 3/2

BREADTH OF CREST = 3.6 FT. 2 = 3 Fr. /

H VARIES

SPILLWAY STEP

C VARIES WITH H, PG. 5-40 TABLE 5-3 BRATER + KING /

ELEVATION (FT)	(FT)	(Fr)	c /	(CP 5)
573.0	0	3.0	0	0
574.0	1.0	3. 0	2.67	8.01
575.0	2.0	3.0	2.68	22.7 /
576.0	3.0	3.0	2.73	12.6 /
576.6	3.6	3.0	2.79	57.2 /
577.6	4.6	3.0	3.07	90.9
578.6	5.6	3.0	3.32	131.9 /
579.6	6.6	3.0	3, 3 2	168.9 1
580.0	7.0	3.0	3. 32	184,51
582.0	9.0	3.0	7.32	268.9 /
584.0	11.0	3.0	3.32	263.4
506.0	13.0	3.0	3. 3 2	466.81
5880	15.0	3.0	3.32	578.6 1
590.0	17.0	3.0	3,32	698.11

SPILLWAY STEP

ELEVATION (FT)	(Fr)	(FT)	. 6/	(CFS)
576.0	0	3.0	0	0
576.6	0.6	3.0	2.67	3.7 /
577.6	1.6	3.0	Z. 68	16.3 /
578.6	2.6	3.0	2.73	34,3 /
579.6	3.6	3.0	2.79	57.21
580.0	4.0	3.0	3.07	73,7 /
582.0	6.0	3.0	3,32	146.4 1
584,0	8.0	1.0	3.32	225.4 /
586.0	10.0	7.0	3, 3 2	3/4.9 -
588.0	12.0	3.0	3.32	414.0 1
590,0	14.0	3.0	3, 3 2	521.7 1

ICHAEL BAKER, JR., INC.	Subject HONK			\$.O. No
THE BAKER ENGINEERS	HUXILIARY	SPILLWAY	RATING	Sheet No. 12 of 25
Box 280				Drawing No
Beaver, Pa. 15009	Computed by	WTChecke	d by <u>LAD</u>	Date 3/11/8 1
1./ E	• • • • • • • • • • • • • • • • • • • •			• • • • • • • • • • • • • • • • • • • •
WEIR FLOW		P.	. UT Em 50/	TAICH CALLAGO
Q=CLH22.	/	7/5	7HI E11ER4	ENCY SALLWAY
-		580	_=	
-		580	·1,	<i>,</i> —
- -		580 575	^ن ر	
FLOW OVER			CREST	F ELEV. 576.6 Fi
FLOW OVER O			CREST	FLEV. 576.6 F
	ICAL SIPES	575		F ELEV. 576.6 F
#55001NG VERT	ICAL SIPES	575		
#55001NG VERT	TAL CREST PRINING BOTH	575		
ASSUMING VERT L= 17.3 Fr. To LENGTH COL	TAL CREST SPINING BOTH SPILLWAYS	575		

C VARIES WITH H, TABLE 5-3 / BRATER + KING

	TOTAL CR	EST RATIO	NG	
ELEVATION, Gr)	(Fr)	(Fr)	C	(CFS)
576.6	0	17.5	0	0
577.6	1.0	17.3	3.14	54.3
578.6	z.0	17.3	3.3/	161.9
579.6	3.0	17.3	3.32	298.4
580.0	3.4	17.3	3, 3 2	360.11
582.0	5.4	77.3	3,32	720.7/
584.0	7.4	17.3	3.32	1,156.21
586.0	9.4	17.3	3.3 2	1,655.31
588.0	11.4	17.3	3.32	3,210.81
590.0	13.4	17.3	3.32	3,817,41
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MICHAEL BAKER, JR., INC.

THE BAKER ENGINEERS

Box 280 Beaver, Pa. 15009

Subject HONK FALLS DAM S.O. No. AUXILIARY SPILLWAY RATING Shoot No. 13 of 25

(CONTINUED) Drawing No. Computed by GWT Checked by LAD Date 3-11-81

FLOW OVER IST STEP

L = 2.5 FT TOTAL STEP WIDTH OF BOTH EMERGENCY SPILLWAYS

H VARIES FROM O FT. TO 12.4 Fr.

C VARIES WITH H, TABLE 5-3 BRATER + KING

BREADTH OF WEIR = 2,5 FT.

· · · · · · · · · · · · · · · · · · ·	TOTAL 1SE	STEP RATI	ING	
ELEVATION.	£15 /	(FT).	٠/	(CFS)
577.6	0	2.5	0	0
578.6	1.0	Z.5	2.64	6.6 1
579.6	2.0	2.5	2.76	19.5 -
580.0	Z.4	Z.5	2.89	26.9 -
582.0	4.4	2.5	7, 72	76.6 1
584.0	6,4	2.5	7.72	134.4 /
586.0	8.4	2.5	3.32	202.1 1
500.0	10,4	2.5	3.32	278.4
590.0	12,4	2.5	3.32	362.4 1

FLOW OVER ZEE STEP

L= 2, 5. FT. TOTAL STEP WIOTH OF BOTH EMERGENCY SPILLWAYS

H VARIES FROM O FT. TO 11.4 FT.

C VARIES WITH W, TABLE 5-3 BRATER + KING

BREADTH OF WEIR = 2.5 FT.

	TOTAL ZE	e STEP RI	9 TING	
ELEVATION.	(Fi) /	(Fr) /	c/	(Gs)
578,6	0	2.5	0	0
579.6	1.0	2.5	2.64	6.6/
580.0	1.4	2.5	Z.68	11.1 /
582.0	3.4	2.5	3.19	50.0 /
584.0	5.4	2.5	3, 32	104.2
586.0	7.4	2.5	3.32	167.1
588.0	9.4	2.5	3,32	239. 2 /
590.0	11.4	2.5	3,32	319.5 /
340.0	// . 4	2.3	2,34	7/4.5

MICHAEL	BAKER,	JR.,	INC.
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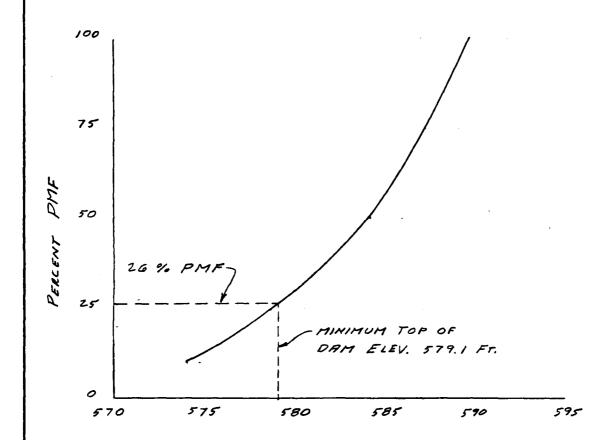
THE BAKER ENGINEERS

Box 280 Beaver, Pa. 15009

Subject HONK	FALLS D.	AM	S.O. No
COMOINED	SPILLWAY	RATING	Sheet No. 14 of 25
			Drawing No
Computed by	UTChec	ked by LAD	Date 3-19-81

		COMBI	NED SI	PILLWAY	RATING	;	
ELEVATION (FT)	SPILLWAY (CES)	SPILLWAY STEP (CFS) /	SPILLWAY STEP (CFS) /	AUTILIALY SPILLWAF CLEST (CES)	AUXILALY SPILLWAY ISI STEP (CFS)	AUXILARY SPILLWAY 249 STEP (CFS)	TOTAL (CFs)
569.0	0						0
570.0	492,6						492.6
571.0	1378.5						1398.5
572.0	2617.2			}			26/7. 2
573.0	4118,0	0					4118.0
574.0	6372.7	8.0					6340.7
575.0	900 Z. 5	ZZ. 7					9025, 2
576.0	11,344.4	42.6	0	1			11,387.0
576.6	12,833.8	57.2	3.7	0			12,894.7
577.4	15,448.4	90.9	16.3	54.3	0		15,609.9
578.6	18,219.7	131,9	34.3	161.9	6.6	0	18,554.4
579.6	21,139.4	168.9	57.2	298.4	19.5	6.6	21,690.0
580.0	23,347.2	184.5	7 3. 7	360.1	26.9	11.1	23,003.5
582.0	28,711.1	260,9	146,4	720.7	76.6	50.6	29,973.7
584.0	35, 585.3	363,4	225.4	1,156.2	134.4	104.2	37,568.9
586.0	42,934.6	466.8	314.9	1,655.3	202.1	167.1	45,740.8
589.0	50,729.9	578,6	414.0	2,210.8	278.4	239.2	54,450.9
590.0	58,947.2	698.1	521.7	2,017.4	362.4	319.5	63,666.3

MICHAEL BAKER, JR., INC.	Subject HONK FALLS DAM S.O. No.	
THE BAKER ENGINEERS	SPILLWAY CAPACITY ANALYSIS Sheet No of	25
D 700	Drawing No.	
Box 280 Beaver, Pa. 15009	Computed by GWT Checked by LAD Date 4/7/81	



G 101139 436 UL 70 د 114528 3 223 2.70 21,500 570 ှဲ၁ 0.10 NATIONAL PROGRAM FOR INSPICTION OF ACK-FLUERAL DAMS PYDROLLOGIC AND HYDRAULL ANALYSIS OF ROAR FALLS DAM UNIT HYDROLGAPP BY SAYDERS METHOD -840.0 +756.3 1096.0 0.003c ... CCMAINE HYDREGRAPHS FROM STATIONS & AND 4 16191 16325 19760 860 51003 511 5 d U S J 741 KUNDER HYDROGRAPH TO KUDHOUT RESERVETS KRUTING THRU CHANNEL TO HOLK LAKE 629 420 850 91. 60.1 8403 133 1,46342 RUNUFF HYDRUGRAPH TO HUNK LAKE .25 123 2502 57.0 500 500 7 80 MERHIMAN DAY 6285 115300 360 2.0 4180 . 50 7155 90 6.5 5.2 . C ROUTING FOR 3. 0.63 3420 2065 154000 6.63 623 360.1 91.5 340.0 660 3 よしょう ちょうしょく ちょうしょう ちょうしょう ちょうしょう ちょうしょう ちょうしょう ちょうしょう しょうきょう しょうしょう しょうしょう

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LPL1 NAT TUNAL PRUDRAM FOR TOSPECTION OF HOME FALLS ON HYDRALLOSEC AND FYDRAULIC ANALYSIS OF HOME FALLS ON UNIT HYDROGRAPH BY SINDLES METHAU AL 1.4C IFACE JOS SPECIFICATION 16747 . اد L V JCPEK 5 NAIN IOAY A. FLOJE HYDKOJAAPH PACKACI (FLC-1)
DA4 SAFETY VEPSTJU

CAST AUGHETATION 26 FEB 79
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APPENDIX D

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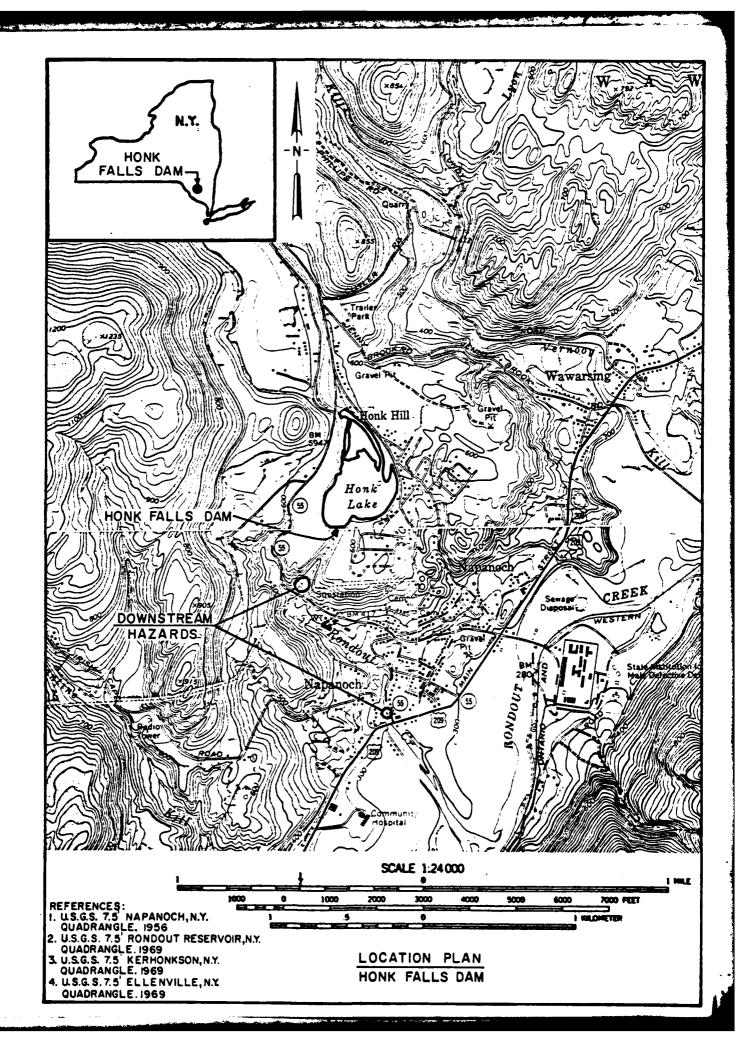
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APPENDIX E DRAWINGS

CONTENTS

Location Plan
Watershep Map
Field Sketch





HONK FALLS DAM FIELD SKETCH

APPENDIX F
BACKGROUND DOCUMENTS

FORM 1W31. 6 18 14-2000 (16-15284)

(NOTICE: After filling out one of these forms as completely as possible for each dam in your district, return it at once to the Conservation Commission, Albany.)

STATE OF NEW YORK CONSERVATION COMMISSION ALBANY

DAM REPORT

(Date) J 1914

CONSERVATION COMMISSION,

DIVISION OF INLAND WATERS.

GENTLEMEN:

I have the honor to make the followin		tructure known
as the Honk Tally	Dam.	
This dam is situated upon the	(Give name of stream)	
in the Town of Management	. Wielen.	County,
about List me from the Willage or City	of Name	4
The distance zero stream from the dam	1, to the Charles Important s	tream or of a bridge)
is about	Gealls Power Co	
The dam is now owned by 6	Give name in full	>
and was built in or about the year 1897	, and was extensively repaired o	or reconstructed-
during the year		
As it now stands, the spillway portion of	this dam is built of (Strue whether of n	nasonry, concrete or timber)
and the other portions are built of state who	ether of masones, contrete, earth or timber with or w	Prout rock fill)
As nearly as I can learn, the character of	the foundation bed under the	spillway portion
of the dam is res we the manufact	and under the remainin	g portions such
foundation bed is the factorial and the foundation bed is the factorial and the fact		

م ح<u>'</u>ں >2.6% ۲3', > 10' × 5' × 6'6 - 4' = 50)" Degree -**‡** 0 5 quets. - 4' lax Al's May 15-16 Lord Condi En a & M Min

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(In the space below, make one sketch shewing the form and dimensions of a cross section through the spiffway or waste-weir of this dam, and a second sketch showing the same information for a cross section through the other portion of the dam. Show particularly the greatest height of the dam above the stream bed, its thickness at the top, and thickness at the bottom, as nearly as you can learn.)

ledge

o-10' in Centin.

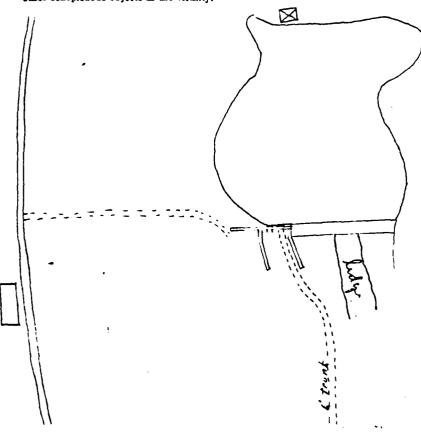
sod rock

27"

concrete

juggrouted rip-rap

(In the space below, make a third sketch showing the general plan of the dam, and its approximate position in relation to buildings or other conspicuous objects in the vicinity.



State Convergation Commission

Albany N. Y.

GENTLEMEN:

Regarding our Dam at HONK FALLS near NAPANOCH N. Y. OWNED BY 6. P. DICKINSON

FITCHBURG MASS.

BUILT 1898

FOR a Hydroelectric plant

ON rock foundation

DAM and SPILLWAY, of concre te

Length of Spillway 200 ft

Total length of Dam and Spillway, 275 ft

Discharges through two 6ft Steel Penstocks 13 ft below top of Dam.

CONSERVATION COMMISSION

DOM'S 1 GORES

APR 6 1912

RECEIVED

MERRIMAN DAM NY 74

SECTION 3 - HYDROLOGY/HYDRAULICS

HONE FALLS DAM

The design flood used for Merriman Dam and Rondout Reservoir is the Probable Maximum Flood (PMF), according to the Recommended Guidelines for Safety Inspection of Dams. The PMF was derived from the adjusted 12 hour Probable Maximum Precipitation (PMP) and was routed through the reservoir using the U.S. Army Corps of Engineers computer program HEC-1. From this analysis, peak outflows and storages were determined for various percentages of the PMF. The routing analysis revealed that 23,645 acre-feet of water would be stored for the peak PMF outflow of 77,104 cfs. The storage input, as derived on sheet #A-16 in the appendix, shows that this storage corresponds to a reservoir elevation of 851.0, 11.0 feet above spillway crest and 9.0 feet below the top of the dam. Therefore, there is no danger of overtopping from a storm resulting in a flood equal to or less than the Probable Maximum Flood.

According to "The Delaware Water Supply News", March 1, 1940:

"The maximum flood peak of record in the Randout is that of August, 1928, reaching 26,715 cubic feet per second, with an indicated occurrence of once in 55 years; 14,000 cubic feet per second and over had been observed to occur three times in 25 years".

If inflow to the reservoir is assumed as 2 cfs per square mile of drainage area, drawdown from spillway crest to elevation 720 would take place in approximately 69 days.

HYDROLOGIC AND HYDRAULIC CALCULATIONS

JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. PHILADELPHIA, PA

•	SHEET NO. OF
	DATE_ 7/4/78_
-	COMP. BY FEF
	DRC

Name of Client_____

ROJECT MERRIMAN DAM

CHECKED BY DEC

STAGE - DISCHARGE LELATIONSHIP & *CLH 3/2 (Spilmay CREST).

ELEVATION HEAD H 3/2

C = 3.4 } L= 600' S CL = 2040

ELEVATION	HEAD	11 2	UISCHARGE
8 40.0	0	0	0
840.5	0.5	0.35	714
841.0	1.0	1.00	2040
842.0	2.0	2.8 <i>3</i>	5773
843.0	3.0	5.20	10608
8440	4.0	8.00	16320
8 45.0	5.0	11.18	22807
848.0	8.0	22.63	46165
850.0	10.0	31.62	64505
5855.0	15.0	58.09	117109
T 860.0	20.0	89.44	180311
L3 C= 3,2 /	= 630 CL	= 2016	

. DETERMINE DISCHARGE THROUGH ONTLET WOMES.

(MAXIMUM DISCHARUE & EL. 840 = 890 MILLION GALLONS/DAY

SISTA 45.

DISCHARUS & EL. 720 (OVERSION WEIR) = 700 MILLION GALLONS/DAY

= 1083 cfs.

STRAIGHT LINE RELATIONSHIP FROM EL. 840 DEL. 720

THIS INFORMATION PROVIDED BY MR. KEVIN CLOONAN GRAHAMSUIZLE, N. Y., 12740
POB 14

JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. PHILADELPHIA, PA NAME OF CLIENT MERKIMAN DAM DISCHARGE THROUGH OUTLES WORKS ELEVATION DISCHARBE 0 = RECORDED DRAWDOUM DISCHARGES
AS PER IMPORMATION PROVIDED BY
GRAHMSVILLE OFFICE (NYC) Ø /200 A-13 DISCHRAGE (cfs)

JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. PHILADELPHIA, PA

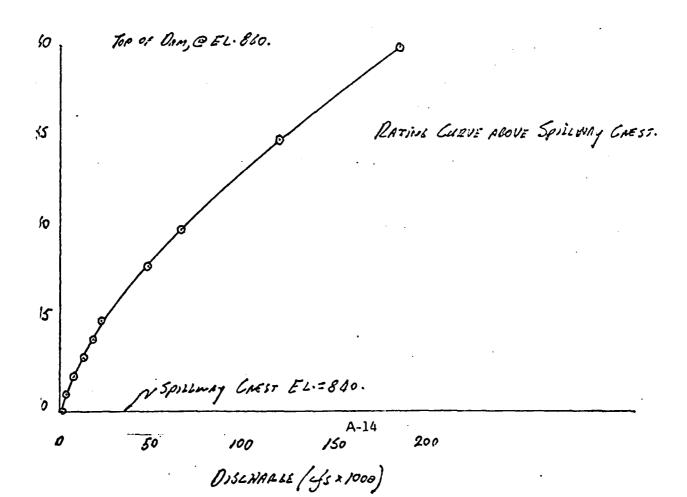
NAME OF CLIENT______

ROJECT MERAIMAN DAM

CHECKED BY DBC

TOTAL DISCHARLE DETERMINATION

	OUTLET	4 4.5	70-12
ELEVATION	WORKS	SPIZZINAY	DISCHAMES
840	1377	0	1377
841	1380	2040	3420
8 42	1382	<i>5773</i>	7155
843	1385	10608	11993
844	1388	16320	17708
845	1390	22807	24197
848	1398	46165	47563
850	1410	64505	65915
855	1419	117109	118528
860	1428	180311	181739



JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. PHILADELPHIA, PA

,	SHEET NO. 4OF
	DATE 7/6/78
,	COMP. BY FEF.
) ac

NAME OF CLIENT.

ROJECT MERRIMAN DAM

STALE - STORALE RELATIONSHIP

AREA @ 840, SPILLWAY CREST: 3.25 SQ. MI. = 2080 ALRES.

AREA @ 860, TOP OF DAM = 3.57 SQ. MI = 2285 ALRES. $(2285-2080)/20' = \frac{205}{20} = 10.25 = 10$ ABLES /FT.

AREA = 10 DEPTH + 2080

STORAZE = \$ \$ 10 D + 2080 = 502 + 2080 D

ELEVATION	DEPTH	502	2080D	STORALIE	
840.0	0	0	0	• 0	•
840.5	0.5	1.25	1040.0	1041	
841.0	1.0	5.0	2080.0	2085	•
8 42.0	2.0	20.0	4160-0	4180	•
843.0	3.0	45.0	6240.0	6285	•
844.0	4.0	80.0	8320.0	8400	•
8 45.0	5.0	125.0	10400.0	10525	•
8 48.0	8.0	320.0	16 640.0	16960	
8 50.0	10.0	500.0	20 800.0	2/300	•
855.0	15.0	1125.0	3/200.0	32325	•
8 60.0	20.0	2000.0	41 600.0	43 600	•

JUSTIN & COURTNEY, INC.

Division of O'Brien & Gere Engineers, Inc. SHEET NO.
PHILADELPHIA, PA MERRIMAN DAM DBC ELBUSTION TOP OS DAM Stappe Rows Spring CREST = 50° +2080 D STAGE - STOPING RELATIONSAIN n (PMF STORMES) = 17677 Ac.F. A-16

JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. SHECT NO .. PHILADELPHIA, PA

NAME OF CLIENT.

MERRIMAN DAM

PROBABLE MAXIMUM FLOOD COMPUTATIONS

DRAINAGE AREA = 95 SQ. NI.

PMP = 23"

PMP in ZONE#1 - 75% OF 10 SO MILES, & HOUR VALUES

ISOHYETAL FIT REDUCTION FACTOR = 13.0%

R. Agjusted PMD = 23"x .13 = 2.99 REDUCTION = 23 - 2.99 = 20.01 20.01 * .75 = 15.01, USE 15 INCHES. 12 HR PMP = 20.01 x .84 = 16.8 INCHES.

L= 11.80 Miss Tp = Cr (L x Lcx).)
Lep: 2.0 Miss = 2.0 (11.8 + 2.0).3 = 5.16

JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. SHEET NO.__PHILADELPHIA, PA

NYSDEC

Merriman Dam

REIT CHECKED BY___

Tr. TP/S.5 = .94 21.0

USE 12 HOUR STORM

Time	Rai	nfall
(hours)	E	Incr
0-1	.20	.20
1-2	.40	.20
2-3	.80	.40
3-4	1.20	40
4-5	2.25	1.05
5-6	3.45	1.20
6-7	10.80	7.36
7-8	13.20	2.40
8-9	14.70	1.50
9-10	16.20	1.50
10-11	16.30	.30
11-12	16.80	.30

minimum loss rate of . I inch/hour

JUSTIN & COURTNEY, INC.

Division of O'Brien & Gere Engineers, Inc. SHEET NO. PHILADELPHIA, PA MERRIMAN DAM CHECKED BY___

DRAWDOWN COMPUTATIONS

DRAWDOWN TIME = 69 DAYS

AI = AREA AT EL. 840

S, = STOLARE AT EL. 840 = 154000 ALLE FT.

Az = AREA AT EL. 720

Jus STOPAGE AT EL. 720 = 7300 ALRE F.

D = DEPTH.

(AI + Az)/2 x 0 = 5, -52

(2080 + Az)/2 = (154000 -7300)/0

2080 + Az = 2 (154 000 -7300)/120

Az = 2445-2080

Az = 365 Acres

Risk = (2080-365)/120' = 14.3 ACRES/FT.

AREN = 1430+ An

AREA = 1430+ 365

SEE SHEET #9 STORAGE = 7.1502 + 3650

ELEVATION DISCHARLE FROM/TO INCREMENT TIME TIME (ELEYATION) (cfs) (STORALE) (HAS). (DAYS) 840 840/830 1187 20095 205 8.54 820 830/810 35900 1140 381 15.88 800 810/190 30180 1077 339 14.13 180 790/770 24460 1020 290 12.08 760 770/760 10085 987 124 5.17 750 760/720 26040 326 967 13.58

720 A-19

TOTAL TIME = 69 DAYS. Accounts FOR 2 cfs / 50 mi DRAINAGE AREA. AS INFLOW

ENFLOW = 2 x 95 = 190 4/5.

JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. PHILADELPHIA, PA

SHEET NO	7of
DATE_ 7/25	178
COMP. BY	FEF
	Dac

STORAGE = 7.1502 + 3650

ELEVATION	DEPTH	71502	3650	STORAGE	INCREMENT
840	(pr.) 120	102960	43800	(Pc.Fr.) 146760	STORAGE
830	110	86515	40 150	126665	20095
810	90	57915	32850	90765	35900
79°	70	35035	25550	60585	30180
770	50	17875	18250	36125	24460
·	_		14600	26040	10085
760	40	11440	14800	28040	26040
720	. 0	. 0	. O		

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		HERRIHAN DAH PHF HYDROLOGY DAH INSPECTION PROGRAM	B SPECIFICATION Y THR THIN METRG IPL 1 0 0 0 JOPER NHT	•	RUNDEF COMPUTATION ON TTAPE JPLT 0 0 0	HYDROGRAPH DATA TRSDA TRSPC RATIO 10.00 0.000	DATA DAJ DAK G.00 G.00 PATTERN	:	NIT HYDROGRAPH DATA	RECESSION DATA 1905N= 0.00 RTIOR= AND TP ARE TG= 5.05 AND R=	00011125, £46= 5,14 7210, 7474, 61 1154, 937, 118	## 10-0F-PERIOD FLOW PRING ST. COMP 0 57. COMP 0 57. COMP 0 57. COMP 0 57. CM 0 57.
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	HEG-1 VERSION UPDATED AUG 74 CHANDE NO. 01		_	·	:		A-21	•		4PPZ3XIMATE.CL		

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	72-HOUR AREA 19A22 95.00 19702 95.00
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RUNDFF SUNMARY, AVERAGE FLOW	T 1 100434, A76A4, 38931, 19822, 2 77104, 704A1, 36967, 19702,
RUNOFF SUMMARY, AVERAGE FLOM	6-400R 87684. 70481.
UNDEF SUMM	PEAK 100434. 77104.
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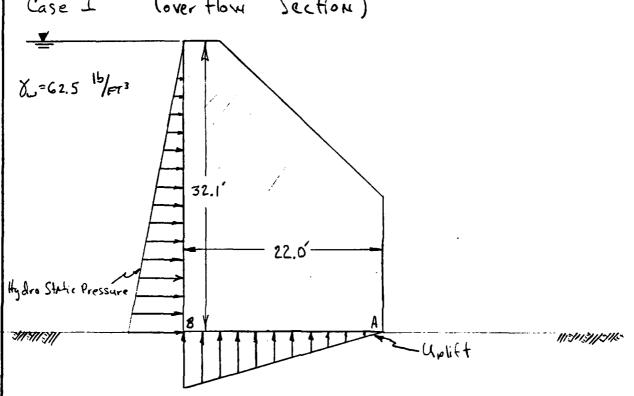
APPENDIX G
STRUCTURAL STABILITY

MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Beaver, Pa. 15009	Subject Houk Falls Typical Cross Station 2+14 Computed by DWM.	Section	_ Drawing No
	Stability Analo	Сон	= 150 PCF sider Sectional VIOLTH of 1FT
32,1'	0 (2)		el 551.º
	3		15
Milli ilikura m			el 531

IAEL BAKER, . IE BAKER ENGI	JR., INC. Subject	Honk Falls i cility Ana	ly sis	S.O. No. <u>/3839 -0) - 4 &</u> Sheet No. <u>2</u> of <u>/6</u>
Box 280 Beaver, Pa. 150	O09 Computed	by DWM	Checked byTS H	Drawing No
Section	W (16)	Arm (FT)	Moment (K-FT)
①	4(150)(17.1)	20	205.2	
2	1/2 (18) (17.1) 150	2/3 (18)	277.02	
_	22(15) 150	11	544.5	
	= 82845 15		EM = 1026.72	L K-F1
Case I	Normal oper at the Sv	eating Could	itions with re	eservoir lovel uplift, and
	no tail u	vater		
Case II	Same as	Case I v	with the ad	soi to noitible
Case III	Ruservoir Casa I, w overflow sec	level d with tailu tion or full	uring 12PMF, nater = 60% value for Non	Full up lift as IN full value for roverflow Section
Case IV	Reservoir l Case I, w over flow	evel durin vith tailw Section or	g PMF, Full ater = 60% - full value	l upliff as in full value for to Non-overflow

Section

MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS	Stability Analysis	S.O. No. <u>/399970)-</u> #2
Box 280 Beaver, Pa. 15009	Computed by DWH Checked b	Drawing No
Case I (or	er flow Section)	



Pressure at Base of Dan = 32.1 (62.5) = 2,006.25 $\frac{16}{12}$ = 2.006 $\frac{1}{12}$ = 2.006 $\frac{1}{12}$ = 2.006 $\frac{1}{12}$ = 2.006 $\frac{1}{12}$ = 2.006 $\frac{1}{12}$ = 32.2 Kips Monement Arm = $\frac{1}{3}(32.1)$ = 10.7 FT above base of Dam (Resultant bration)

Resultant Uplift Force = $\frac{1}{2}(2.006)(22)$ = 22.07 K

Moment Arm = $\frac{2}{3}(22)$ = 14.69 from Right Side of Dam (Resultant location)

THE	EL BAKER, JR., INC. BAKER ENGINEERS Box 280 Beaver, Pa. 15009	Subject ANK FAIS Stability Analy. Computed by DUSTY		Drawing No.	
\ \ \	low Consider	Dearing Pressu	re , i.e. Rea	ction of 1	Foundation
8 %	3 = EW (1-	· <u>e(</u> 6)		82, 845 1sip	(Weight)
BP	= EW (1+	<u>@(6)</u>	T = 22'		Z. 211
5Mc	= = = = = = = = = = = = = = = = = = =	32.2 (10.7)	EH 32.2 MA, 10.77	(<i>(^</i> = _T	borizowal lsa
	_	(12.39-4.159	= 8.23/')	$=\frac{22}{3}-8.2$	31 = 3.769
BP	$\frac{82.845}{22}$	$\left(1-\frac{2.769}{22}(6)\right)$	BPB=	.922 K	SF.
BPA	$=\frac{82.345}{22}$	$\left(1+\frac{2.769(6)}{22}\right)$	BPA =	6.609 A	KSF
Thi	's initial Cal	lcy lation Does 1	vst Gusilen	Ulift	
40.	liff pressure A	IB - OPB	= 2.006 KS,	E	
		4Pm	= 0		
	},	Billinding de inter			,
	1922 KSF		. Whirthey	,	8.23 (>
					82.845

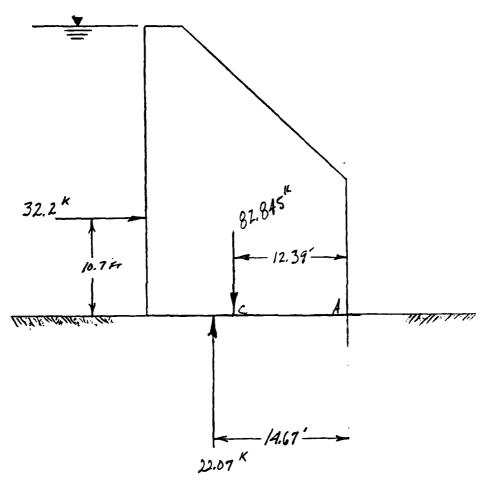
6.609 KSF

M	ICHAEL	. BAKER	, JR.	, INC.
1	THE B	AKER EN	CINEF	:RS

Box 280 Beaver, Pa. 15009 Subject Howk FAIS DAY

State life And lysis Sheet No. 5 of 16

Computed by DUM Checked by - 4 Date 1991



Over tuaning = EMA Resisting Over transing = 82.845(12.39)

F. S. Suraturning = MA Causing Over transing = 32.2(10.7) + 23.07(14.67)

F. S. OVERTHANÍNG 1.536

MICHAEL BAKER, JR., INC.

THE BAKER ENGINEERS

Box 280 Beaver, Pa. 15009 Subject Howk Falls Dan S.O. No. 13333-00-ALL Stability Analysis Sheet No. 6 of 16

Computed by DWM Checked by 73 4 Date 1476, 1931

Stiding

General Eg. Forces Resisting Sliding

R= (E Frentick) tan \$ + s A

0=35° S=2 KSF TAN 35°2.700

ER = 82.845 - 22.07 = 60.775 'cips

A= 22 (1) = 22 FT

R = (60.775).700 + 2(22)

= 86,543 Kips.

H=32.2 F.S. = $\frac{R}{H} = \frac{86.543}{32.2}$

F.S. = 2,688

φ = Angla of internal Criation
of Funndation Material

ET = Summation of Verticle
Forces

s = Unit Shear Strength at Zero Harmal loading along potential failure plane

A = Area of potential failure
plane Leveloping "s"

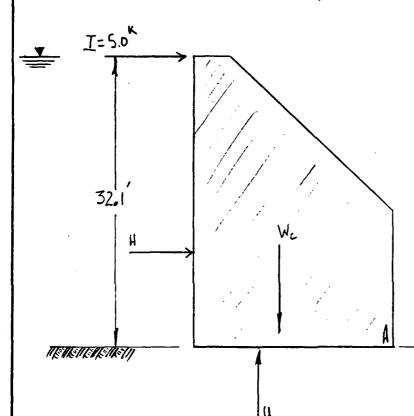
MICHAEL BAKER, JR., INC.

THE BAKER ENGINEERS

Box 280 Beaver, Pa. 15009 Subject Honk Falls DAM S.O. No. 13333-00-ALA
Stability Analysis Sheet No. 7 of 16

Computed by DWM Checked by TSH Date May by

Case II Coverflow Section)



I = Force Caused by expansion of solidifying ice

Overturning

F.S. = 1.238

MAII SALVINA

Sliding H= 32.2+5 = 37.2

$$F.S. = \frac{86.543}{37.2}$$

= 2.326F.S. overturning

MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Beaver, Pa. 15009	Stability And Computed by DWM		_ 5.0. No. <u>/3833-33-ALA</u> _ Sheet No. <u>8</u> of <u>/6</u> _ Drawing No
	low Section) el 584.2		Section one (1)
43.7'		.6(9.1)=5,46	Max.el 546.0
13.7(.0625)=2.731 Mer		.569 K/er = 9.1(.	0625)
Resultant Hydro Stat	ic Force on Face	= [1/2 (2.731-,725)	(1) [(1.25) 32.1 + .725
Resultant location	[1/2 (2.731725)	~ ~~ 1/0 MPS	
=	12.945 abov	e base level	′

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Maria Carata

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	version by the two	Heck	Inthe Ass	s.o. No. 12333-25-
	IICHAEL BAKER, JR., INC. THE BAKER ENGINEERS	Subject Stebili	4 Property	Sheet No. 9 of /2
	Box 280 Beaver, Pa. 15009	Computed by 3011	Checked by	Sheet No. 9 of 16 Drawing No Date 1.4. 7, 1.33
	Resultant by Sea	Static Foace	ом Доим stærn 1.6 16s = . 932	en FACE Kips
				iene desse level
	Resultant Up lift 7	Sace = (.569	$\frac{+279}{2}$ / 22 = 32	5 K. 18 8
!	Rasultant location		731561, 22]	
		= 8590 F:	36.3 From apsperm of	1
	22- 3.598 =			
	Bearing Pressure	lexclusing o	(p (+7+)	
	EM ≥ r	= (55.467)/12.5. 82	745) - (.932)(1.8: .845	z)
	<i>\f</i> :	8.647'		
	12.39 - 8,647 =	3.743' From	- Down stream FAC	· · · · · ·
	e= 22/2 - 3.743	= 7.251	Not within 14	
	$BP_0 = \frac{82.845}{22} \left(/ - \right)$	7.257(61) 22	7.257 > 3.	667
	$ZP_0 = -3.687^{\times}$ $BP_A = \frac{82.845}{22} (11^{-2})$	7.257 (6)	-7.607 MEMF	- 16.611 - ME 11.5
	$ BP_{A} ^{2} = \frac{0.2167}{22} (1) - \frac{1}{2}$	22		//2/2
	1 " " " " " " " " " " " " " " " " " " "			11.219

MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Beaver, Pa. 15009		Sheet No. 10 of 16 Drawing No Date 144 7/931
Negative Bearing Pro Revise Bearing Pro 1/2 [3(3.743)]	•	
BPA = 14.756	11.129	4plif4)
Resultant Loadines	14.75C KS F	
55.469 K	1.82 F1 12.39 - 1.82 F1 1.82 F1	
14 14 14 16 16 16 16 16 16 16 16 16 16 16 16 16	363K - 13.402-	MENENET ETT

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MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Beaver, Pa. 15009		Sheet No. 1 of 16 Drawing No
Draw to AN ING & F. Soverto ANING (55) F. Soverty ANING = 0,8	14, => 32, 845)(12, 39) + (1.82)(.932) 369)(12945) + (363)(13.452) 354	
H= 55.469-	+ SA R= (46.545).7 .932 = 54.537 * . = 76.582 54.537	+ 2(zz)
F. S. siding = 1.40	14	

MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Beaver, Pa. 15009	Subject HONK FARS DAM SHAGILTA ANSLYSIC Computed by BUM Checked by EH	Orawina No.
Case IV loven	Flow Section)	
8/ 589.7 FT	,	e/ 536.9°
52.8(.x2s)=3.3		

MICHAEL BAKER, JR., INC.	Subject BAK Fills J. A.	S.O. No. <u>/3383-00-4</u> 24
THE BAKER ENGINEERS	Stability Axal-sus	Sheet No. <u>13</u> of <u>16</u>
Box 280 Beaver, Pa. 15009	Computed by DUIM. Checked by To	Drawing No
	ofic Face ON Upstrem Face	
Rosulfont locations	= 73.730 Kips	
	32.1)] 32.1 1 (/2(3.3-1.294), 32.1 73.73	$\sqrt{\frac{32.1}{3}}$
= /3.7/5	FT above Lase level	
Resultant ON LOUR	stanner Face	
. 6(12.1)(.	0625) = 0.454 Kips	
Resultant Location	$= \frac{.6(12.1)}{3} = 2.42^{FT} \text{ above}$	base level
Resultant Upliff	Force	
$\left(\frac{3.3}{2}\right)$	22 = 44.616 Kips	
Rosaltant location		
((.756)	1(22) 723 + [/2(3.3756)(22)]	23/3
_	44.616	
= 8,7 %	From Upstacky FACE	
22-87 = /3	7 FT Fam Down : hear Face	

MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Beaver, Pa. 15009	Subject Houk Falls Stability And Computed by DWH	als sis	Sheet No. 13889-0)-4 Sheet No. 14 of 16 Drawing No. 1991
Mc Fr	ce (excluding Uph,		
$r = \frac{73}{12.19}$	82.845 3 FT	<u>((5,42)</u>	
e= 22/2197		WN Shear FAC	•
Bening Pressure 1/2 [3(.191)] Bla = 280.:	70% = 32.845		
,"		/ n = 01FT	

Exclusive Apliff

284355 KSF

MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Beaver, Pa. 15009	Subject BAK FA//s LAIS STABILITY ANALYSIS	S.O. No. <u>3883</u>
Resultant Loop		Dave 2197 17 17 17 17 17 17 17 17 17 17 17 17 17
73.73 Kips		
13.715 87	81.845*	0.454 ^K
The Marie of the State of the S	B (c 1) \(\frac{1}{2.42}\)	THE STOP SH
Over funning	13.3 FT	= FS = 0.640
	3.715) + (41.616)(133)	= F.S. = 0.640

R= (38.229).7 + 2(22) = 70.76

H= 73.73-,454 = 73.276

F.S. = 1/4 = 70.76/73.276

F.S. = 0.966

Subject Buk Falls 1 And S.O. No. 1533-33-15-144
Stabilite Augustus Sheet No. 16 of 16 MICHAEL BAKER, JR., INC. THE BAKER ENGINEERS Box 280 Computed by DOM Checked by T5 + Date Mr. 7. M. 7. Beaver, Pa. 15009 Reaction Locations (including 4pliff) Case I EMA = 32.2 (10.7) + 22.07(14.67) - 82.845 (12.59) = 87.845 725.07 = 5.893 FT Faon TOE under Day Not within Hiddle That (ase II $\frac{EH_{E}}{EV} = \frac{32.2(10.7) + 20.07(14.67)^{4}}{5(32.1) - 92.845(12.39)}$ = 3.252 FT From the under ban not within mitter Thing Case III $\frac{EM_0}{EV} = \frac{55.469(12.945) + 36.3(13.402) - 82.885(12.39) - 1.92(.932)}{EV}$ 36.3-82845 = -3.79 FT FROM for Not when DOM Section Case II 73.73(13.715) + 44.66(13.3) - 82.845(12.39) - .454(2.42) 44.416 - 82.345

= -14.961 FT FRON to Not under Dan Section